

CYNGOR SIR POWYS COUNTY COUNCIL.

CABINET EXECUTIVE

18th December 2018

**REPORT AUTHOR: Executive Leader County Councillor Rosemarie Harris
Portfolio Holder for Risk Management**

SUBJECT: Corporate Risk Register Report Quarter 2 2018/19

REPORT FOR: Decision / Discussion / Information

1. Summary

The Council is in a process of continuously improving and updating its approach to risk management to help better understand and manage the risks the Council is facing, and to increase the likelihood of achieving its objectives. Risk management is a core management discipline that supports organisational delivery. The risks that Powys County Council face are changing all the time, so the art of good risk management is to combine planning for what we know might happen with preparation for unknown situations, and to safeguard the organisation and in turn make it more resilient.

2. Risk Management Improvement

Risk management within Powys County Council has been reviewed, and has undergone internal audit by South West Audit Partnership (SWAP). An improvement action plan has been devised by the former Business Continuity and Risk Management Officer. This has been agreed by the Acting Director – Resources, and SWAP, and will now be implemented by the Corporate Policy, Assurance and Inspection Officer.

The electronic risk management software (JCAD Core) was agreed by Executive Management Team / Heads of Service, and is currently being rolled out across the organisation on a phased approach. Users for each service are being identified, and guidance and training is being rolled out to those users. The system will promote greater ownership of risks, as services will be required to update their risk registers themselves. Challenge and support to services on their risk registers will still take place on a quarterly basis.

Reporting will be much less onerous using JCAD, with the ability to generate reports at the touch of a button.

3. Corporate Risk Register

The corporate risk register has become too large and unwieldy, and this removes focus, and the ability to effectively manage the Council's key risks. In light of this, a review of the risk register has been completed by the Leader and the Acting Corporate Policy, Assurance and Inspection officer. As a result of this review, a number of risks have been proposed for de-escalation to service level.

Cabinet oversight of the de-escalated risks will remain, as part of the quarterly performance review meetings, where service risk registers are reported to Director and Portfolio Holder(s).

It is proposed to re-brand the corporate risk register as the 'strategic risk register'. This should provide greater clarity, and ensure that only risks which will have a strategic impact, or which require strategic input to mitigate, will be escalated to the corporate / strategic risk register.

Below is a summary of the completed review: -

Risks proposed to be de-escalated to service level	15
Risks proposed to be deleted	1
Risks proposed to remain on the corporate risk register	<u>12</u>
TOTAL NUMBER OF RISKS	<u>28</u>

Full details of the proposed risks for de-escalation are included in Appendix A – Risk Register.

4. Options Considered / Available

N/A

5. Preferred Choice and Reasons

N/A

6. Impact Assessment

5.1 Is an impact assessment required? No

7. Corporate Improvement Plan

7.1 The Corporate Risk Register outlines the key risks to the Council's 'business as usual' activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan.

8. Local Member(s)

- 8.1 This report impacts all Members equally and does not affect local Members individually.

9. Other Front Line Services

Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes

10. Communications

Have Communications seen a copy of this report? Yes

“No proactive communication activity at this stage.”

11. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

- 11.1 Legal – “The Professional Lead notes the content of the report and supports the recommendations.”

- 11.2 Finance – “The Finance Business Partner notes the contents of the report.”

12. Scrutiny

Has this report been scrutinised? No

13. Statutory Officers

- 13.1 Section 151 Officer – “The 151 Officer notes the content of the report.”

- 13.2 Monitoring Officer – “The Deputy Monitoring Officer notes the legal comment and has nothing further to add.”

14. Members’ Interests

- 14.1 The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
That Cabinet approves the risks proposed for de-escalation to service level.	To ensure a fit-for-purpose corporate risk register, which is able to ensure the adequate management of risk, and safeguard the Council.
That Cabinet approves the re-branding of the corporate risk register to the 'strategic risk register'.	To provide greater clarity of the risks which should be escalated from service level, and ensure that the corporate / strategic risk register remains focussed and fit-for-purpose.

Relevant Policy (ies):			
Within Policy:	Y / N	Within Budget:	Y / N

Relevant Local Member(s):	N/A
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Person(s) To Implement Decision:	N/A
Date By When Decision To Be Implemented:	N/A

Is a review of the impact of the decision required?	Y / N
If yes, date of review	
Person responsible for the review	
Date review to be presented to Portfolio Holder/ Cabinet for information or further action	

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Background Papers used to prepare Report: